

**Dougherty, Clements & Hofer**

Patents, Trademarks and Copyrights

*The Roxborough Building  
1901 Roxborough Road, Suite 300  
Charlotte, NC 28211 USA**Phone 704-366-6642 Fax 704-366-9744**Email: patlaw@worldpatents.com*TO: USPTO - Refunds - Doris Galloway DATE: June 28, 2004FAX NO: 703-<sup>308</sup>~~890-1070~~ 6778 NO. OF PAGES (INCLUDING COVER): 7RE/MATTER/DOCKET # Application #10/696,210 (Our Docket #3868)

MEMO:

Refunds:

Attached please find a copy of our April 2004 Deposit Account Statement. The charges were are disputing are:

4/14 Docket 3868 \$293.00

4/14 Docket 3868 \$ 54.00

4/14 Docket 3868 \$130.00

As you can see by our enclosed Fee Transmittals and Credit Card Slips, all of the above charges have been paid through either Ralph Dougherty's or Greg Clements' credit cards.

Please credit our Deposit Account \$477.00.

Thank you,

Mary E. Siems  
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**Deposit Account Statement**

Requested Statement Month: April 2004  
 Deposit Account Number: 041448  
 Name: DOUGHERTY & CLEMENTS LLP  
 Attention: RALPH DOUGHERTY  
 Address: 1901 ROXBOROUGH ROAD  
 City: CHARLOTTE  
 State: NC  
 Zip: 28211

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/07	323	PCT/US04/10010 3899-PCT1	1702		\$48.00	\$2,834.00
04/07	325	PCT/US04/10010 3899-PCT1	1703		\$36.00	\$2,798.00
04/07	326	PCT/US04/10010 3899-PCT1	8007		\$20.00	\$2,778.00
04/13	8	PCT/US04/10010 3899-PCT1	8007		\$20.00	\$2,758.00
04/14	23	10696210	3868	1001	\$293.00	\$2,465.00
04/14	25	10696210	3868	1202	\$54.00	\$2,411.00
04/14	26	10696210	3868	1051	\$130.00	\$2,281.00
04/22	15	10636149	3827	1201	\$84.00	\$2,197.00
04/22	16	10636149	3827	1202	\$36.00	\$2,161.00
04/22	40	10828044	3192 CIP	2202	\$1.00	\$2,160.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$2,880.00	\$720.00	\$0.00	\$2,160.00	

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06/28/04 MON 10:41 [TX/RX NO 9188] 002

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0661-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 824.00)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	BECK, Bernd, et al.
Examiner Name	
Art Unit	
Attorney Docket No.	3868

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 04-1448

Deposit Account Name Dougherty, Clements, &amp; Hofer

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 770.00)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$ 54.00)</b>

\*\*or number previously paid, if greater. For Reissue, see 37 CFR 1.212.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920	2804 920	Requesting publication of SIR prior to Examiner action	
1805 1,840	2805 1,840	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 860	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1801 1,330	2801 665	Utility issue fee (or reissue)	
1802 480	2802 240	Design issue fee	
1803 840	2803 320	Plant issue fee	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	2808 180	Submission of Information Disclosure Stmt	
8021 40	2801 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 0.00)**SUBMITTED BY**

Name (Print/Type)	Gregory N. Clements	Registration No. (Attorney/Agent)	30,713	Telephone	704-386-6642
Signature	<i>Gregory N. Clements</i>	Date	10/28/2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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